

Announcement Letter for Anti Money Laundering

境外客户反洗钱承诺函

工银瑞信基金管理有限公司：

_____（以下称“我司”），作为经中国证券监督管理委员会批准，拥有[合格投资者]资格的境外[资产管理机构]，拟与贵司开展[RQFII 投资]方面的合作，在此，我司特承诺：

- 1、 我司已按照我司所在地的监管要求，建立了完善的反洗钱及反恐怖融资内控制度，以对反洗钱行为进行有效的防控及报告；
- 2、 我司已按照所在地的监管要求建立完善的客户身份识别及尽职调查制度、客户身份资料和交易记录保存制度，一直以来按照我司所在地的监管要求报告可疑交易；
- 3、 对于委托贵司提供投资咨询服务的 RQFII 产品，我司确保其委托资金来源的合法性；
- 4、 我司承诺，若贵司根据反洗钱监管的要求需要了解我司相关客户的信息，我司将配合提供，但贵司不得将相关信息用于反洗钱以外的其他目的

_____（盖章）

年 月 日

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ICBC Credit Suisse Asset Management Co., Ltd.,

_____ (hereinafter referred to as “our Company”), as a foreign [asset management institution] with [qualified investor] qualification approved by China Securities Regulatory Commission, is to cooperate with your Company to implement [RQFII investment]. Our Company hereby gives the following undertakings:

1. Our Company, subject to the requirements stated by local regulatory agency, has established sound anti-money laundering and anti-terrorism financing internal system to launch effective prevention, control and reporting of anti-money laundering behaviors.
2. Our Company, subject to the requirements stated by local regulatory agency, has established sound customer identity identification and due diligence system, as well as customer identity document and trading record preservation system. We have always reported suspicious transactions in accordance with local regulatory requirements
3. In terms of the RQFII products to gain investing consulting services from your Company upon our Company’s commission, Our Company ensures the legality of custodian funding source.
4. Our Company undertakes that our Company will provide relevant customers’ information in the event that your Company needs to know about it as required by anti-laundering regulation, provided that your Company shall not use such information for any other purpose

_____ (Seal/Authorized Person’s Signature)

Date: